



Carriers' Liability Insurance Performance and Audit Checklist

This checklist is a record of performance statistics and file audit conducted by CLI Unit staff.

Part I

A check to ensure that the carrier's Certificate of Compliance and Air Operator's Certificate are current and insurance is in place.

1. Does the operator have CLI obligations? for example, current Charter/RPT Approval	Yes/No
2. Does the carrier hold a current Certificate of Compliance?	Yes/No
3. Was the Certificate of Compliance issued as a result of: <ul style="list-style-type: none"> o Initial Issue AOC o Renewal of insurance o AOC Change o New insurance arrangements? 	
4. For Renewals only: What was the gap (in days) between the expiry of the old Certificate of Compliance and the issue of the new certificate?	_____ Days

Part 2

This part records:

- The time delay in Regulatory Services Division or Airline Operations Branch passing a copy of the carrier's AOC to the CLI Unit, and
- The gap (if any) in insurance coverage for any new aircraft/activities authorised by the AOC.

5. Delay in receipt of operational approval by CLI Unit	_____ Days
6. Period of time that the carrier did not have adequate insurance in place to cover all aircraft/activities authorised under a new AOC.	_____ Days
7. Random check to ensure that insurance arrangements cover all aircraft endorsed on an AOC. Perform this check for approximately 1 in 15 carriers.	Fleet covered? Yes/No

Checking Officer Signature: _____ **Date:** ____ / ____ / ____