



WORKSHEET

(AIM.05) - Alternate Means of Compliance (AMOC) and Exclusions from an Airworthiness Directive

Applicant name		Applicant ARN		EAP case number		File reference	
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AD number		Product	
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Instructions

1. Use this worksheet for the assessment of Airworthiness Principle (AIM.05) - Alternate Means of Compliance (AMOC) and Exclusions from an Airworthiness Directive.
2. Ensure that a completed Form CASA-04-4607 has been submitted before commencing assessment.
3. Only complete the sections relevant to the approval.
4. Unless otherwise stated, the legislation reference refers to the *Civil Aviation Safety Regulation 1998 (CASR)*.
5. The compliant column is used to record evidence that supports an assessment of *present* and *suitable* for the operation. Select a response from the drop down list. There are four available responses: **Yes** / **No** / **MI** (more information) / **N/A** (not applicable). Yes indicates that both present and suitable have been satisfied and the element is compliant with the rule.
6. For guidance on specific aspects of each question, refer to the respective section in the principle document as identified in the principle reference column.
7. The assessment summary must be completed by all relevant parties. By selecting satisfied or not satisfied, the inspector is taken to have signed the worksheet.
8. Where required, the approval data sheet must be completed by the assigned staff.

3.2 Safety Analysis

Legislation	Principle	Question	Compliant?	comments
CASR 39.004 (4)	3.2.1	Has the assessment of an equivalent level of safety considered the effect on type design, crew workload, aircraft systems, etc.?		
CASR 39.004 (4)	3.2.1	Is the justification appropriate for the proposed alternate method?		
CASR 39.004 (4)	3.2.2	If a Certification Statement has been supplied, is it from a source that has access to the required data?		
CASR 39.004 (4)	3.2.3	If a Qualitative analysis has been used for the justification, has it considered the impact on the aircraft, crew, etc.?		
CASR 39.004 (4)	3.2.4	If a Quantitative analysis has been used for the justification, has an appropriate method been applied? (e.g. to SAE ARP4761)		
CASR 39.004 (4)	3.2.5	If the justification is based on a precedent, are the situations substantially the same?		
CASR 39.004 (4)	3.2.7	Has the potential effect on conflicting ADs or permissible unserviceabilities/MELs been considered?		

3.3 Extension of compliance times

Legislation	Principle	Condition	Compliant?	comments
CASR 39.004 (4)	3.3	Are the reasons for the extension appropriate? (e.g. factors were outside of the operator's control)		
CASR 39.004 (4)	3.3	Has a basic safety analysis been performed to assure an extension is appropriate?		

3.4 Conditions and limitations of an AMOC or exclusion

Legislation	Principle	Question	Compliant?	comments
CASR 39.004 (6)	3.4	Are there any conditions or limitations which need to be placed on this AMOC or exclusion?		

3.6 Operational limitations

Legislation	Principle	Question	Compliant?	comments
CASR 39.004 (6)	3.6	Does the AMOC or exclusion require a condition to restrict the applicability to the current registered operator only?		

Assessment summary

Assessment

CASR 39.004(2) states that CASA may approve, for a particular aircraft or aeronautical product, a means of compliance with an airworthiness directive other than that set out in the airworthiness directive or exclude a particular aircraft or aeronautical product from the operation of an airworthiness directive.

This worksheet verifies the application for an alternate method of compliance or exclusion for the above-named applicant has been assessed in accordance with the current revision of Protocol (AIM.05).

Recommendation to approve AMOC/Exclusion **Yes** **No**

Signature of lead assessor	Comment:
Signature of Peer reviewer	Comment:
Signature of Delegate	Comment: